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Date of Deposit: May 7, 2002

Attorney Docket No. 15966-599 (CURA-99)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Shimkets and Leach

SERIAL NUMBER:

09/718,321

**EXAMINER:** 

Not Yet Assigned

FILING DATE:

November 22, 2000

**ART UNIT:** 

1645

For:

NUCLEIC ACIDS CONTAINING SINGLE NUCLEOTIDE

POLYMORPHISMS AND METHODS OF USE THEREOF

#### **BOX MISSING PARTS**

U.S. Patent and Trademark Office Box Sequence, P.O. Box 2327 Arlington, VA 22202

#### TRANSMITTAL LETTER

Transmitted herewith for filing in the above-referenced patent application are the following documents:

- 1. Copy of Notice to Comply with Requirements for Patent Applications (1 pg.);
- 2. Response to Notice to Comply with Requirements for Patent Applications (1 pg.);
- 4. Sequence Listing

Statement in Support of CRF (1pg.);

Compact Disc Copy of the Sequence Listing (1 Compact disc)

Electronic Copy of Sequence Listing (1 disk);

- 6. Preliminary Amendment (2 pgs.);
- 7. Petition for Three-Month Extension of Time (1 pg.);
- 8. Check No. 12672 in the amount of \$460.00 to cover the Extension fee; and
- 9. Return postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617-542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any additional fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311, Ref. No.15966-599 (CURA-99). A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

Date: May 7, 2002

Ivor R. Elrifi, Reg. No. 39,529

Attorney/Agent for Applicants

c/o MINTZ, LEVIN

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241 Express Mail Label No.: EV054294546US

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PATENT APPLICATION Attorney Docket No. 15966-599 (CURA-99)

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**Assistant Commissioner for Patents** Washington, D.C. 20231

## RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT **APPLICATIONS**

In response to the Notice To Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed December 7, 2001, Applicants submit herein a replacement Compact Disc Copy of the Sequence Listing (1) CD); Statement in Support of CRF; electronic copy of Sequence Listing (1 diskette); Preliminary Amendment; Petition for Three-Month Extension of Time; and payment of the Three-Month Extension of Time of \$460.00. This response is due on or before May 7, 2002, with a threemonth extension.

The Commissioner is authorized to charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 15966-599 (CURA-99).

Respectfully submitted,

Date: May 7, 2002

Ivor R. Elrifi, Reg. No. 39,329 Attorney/Agent for Applicants

c/o MINTZ, LEVIN

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TRA 1665258v1



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/718.321

11/22/2000

Richard A. Shimkets

15966-599 (CURA-99)

CONFIRMATION NO. 3118

Ivor R. Elrifi, Ph.D. Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C. One Financial Center Boston, MA 02111



FORMALITIES LETTER
\*OC000000007166777\*

Date Mailed: 12/07/2001

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE